

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ping-Tien WANG

: **Attention: FINANCE OFFICE**

Serial No.: 09/836,415

Filed: April 18, 2001

For: Bike Handle Tightening Device After
Separated From A Bike Frame**SUBMISSION OF SMALL ENTITY STATEMENT
AND REQUEST FOR REFUND UNDER 37 C.F.R. §1.26**Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

A Verified Small Entity Statement under 37 C.F.R. 1.9(f) and 1.27(c) for a Small Business Entity is submitted herewith. The verified Small Entity Statement has been filed within three months of the payment of the filing fee in connection with the above identified application.

Applicants submit that the Verified Small Entity Statement was inadvertently omitted at the time the present application was filed.

Applicants therefore request a refund of one half of the U.S. nonprovisional application filing fee (submitted on April 18, 2001) for the above-referenced application. The fee in the amount of \$355.00 should be refunded to Deposit Account No. 02-0200. A duplicate copy of this Request is provided for use by the Deposit Account Branch.

Respectfully submitted,
BACON & THOMAS, PLLC

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Date: June 13, 2001

VERIFIED STATEMENT (DECLARATION) BY AN INDEPENDENT INVENTOR CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9(F) AND 1.27(b)

APPLICANT OR PATENTEE: Ping-Tien WANG

SERIAL OR PATENT NUMBER: 09/836,415

FILED OR ISSUED: April 18, 2001

DOCKET #: WANG3009/EM/6714

TITLE: Bike Handle Tightening Device After Separated
From a Bike Frame

GROUP ART UNIT:

EXAMINER:

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the matter described in:

- ☐ The specification filed herewith, with the title as listed above.
☐ The patent application identified above.
☐ The PCT international patent application identified above.
☐ The patent number identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern or organization.

☐ each such person, concern or organization listed below. Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS		

FULL NAME		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS		

☐ See attached sheet for additional person(s), concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

	Inventor 1	Inventor 2	Inventor 3
Name	Ping-Tien WANG		
Date	May 18, 2001		
Signature	Ping Tien Wang		